

Request Form for change in status from Minor to Major



Date

The Trustees

LIC Mutual Fund Asset Management Limited

1. Application Details (unit holder who is requesting for change of status from MINOR to MAJOR)

Name Mr./Ms.

Date of Birth

PAN No.

Tax Status Resident Individual NRI PIO Other (Please Specify)

KYC Detail (Please tick ✓ whichever is applicable) KYC Acknowledgement attached KYC form attached C - KYC Identification no.

Name of the Guardian Mr. / Ms.

Relationship with the applicant: [please (✓) tick whichever is applicable]

Father Mother Court Appointed Guardian

I, the above applicant, hereby request you to change my status from Minor to Major in the following Folio/s and delete the Guardian's name therein since I have become a major, and update the details provided herein in your records.

2. Folio No(s).

1. 2. 3.
4. 5. 6.
7. 8. 9.

3. Contact details of the Applicant (Mandatory)

Mobile No. Tel. No. STD

Mobile No. provided pertains to Self Spouse Dependent children Dependent Sibling Dependent Parents

Email Address (Email ID in CAPITAL letters only)

Mobile No. provided pertains to Self Spouse Dependent children Dependent Sibling Dependent Parents

4. Address of the Applicant (Please note that address will be updated as per applicant's address on KYC form / KYC Registration Agency records)

Address Line 1

Address Line 2

City State PIN

5. Overseas address (Overseas address is mandatory for NRI applicants in addition to mailing address in India)

Landmark City State Country (Mandatory)

PO Box No. Country (Mandatory)

6. Bank Account Details of the Major (Mandatory)

Please attach & tick ✓ Cancelled cheque with applicant's name printed OR Applicant's Bank Statement / Passbook

Name of Bank A/C type (✓) SB Current NRO NRE FCNR

Account No. Bank Branch

Branch City Pin IFSC Code* MICR Code**
(*11 Character Code) (**9 - digit number)

7. Additional KYC information (Please tick ✓ whichever is applicable) (Mandatory)

Occupation:

Private Sector Service Public Sector Service Government Service Business Professional Agriculturist
 Retired Home Maker Student Forex Dealer Other (Please specify)

The applicant is A Politically Exposed Person Related to a Politically Exposed Person Neither (Not applicable)

Gross Annual Income (₹) Below 1 Lac 1-5 Lacs 5-10 Lacs 10-25 Lacs 25 Lacs-1Crore > 1Crore

Mutual Fund Investments Are Subject To Market Risks, Read All Scheme Related Documents Carefully.

8. FATCA and CRS information (Mandatory)

Country of Birth _____ Place of Birth _____ Nationality _____

Are you a tax resident of any country other than India? Yes No

If Yes, please mention all the countries in which you are resident for tax purposes and the associated Taxpayer Identification Number and its identification type in the column below

| Country | Tax-Payer Identification Number | Identification Type |
|---------|---------------------------------|---------------------|
| | | |

9. Nomination (Please tick (✓) one of the options below) (Mandatory)

I wish to make a nomination and hereby nominate the person/s more particularly described in the **Nomination Form** enclosed herewith, to receive the Units held in my folio in the event of my death.

I **DO NOT** wish to make a nomination

FOR NOMINATION : I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

10. Declaration and Signature of the Applicant

I have enclosed herewith all the relevant / required documents as indicated below.

I confirm that the information provided above is true and correct to the best of my knowledge and belief.

I undertake to keep LIC Mutual Fund / its AMC / RTA informed about any changes / modification to the above information in future and also undertake to provide any other additional information as may be required by the AMC / RTA.

I hereby authorize LIC Mutual Fund and its AMC / RTA to share / disclose any of the information provided by me, including any changes in respect thereof to the Mutual Fund's Bankers or my Distributor / Investment Advisor and to such other service providers as may be necessary for any operational reason, including to verify / validate my bank account details. I also authorize the Mutual Fund & its AMC / RTA to provide / share any of the information provided by me including any holdings in the Mutual Fund to any governmental or statutory or judicial authorities / agencies as required by law without any obligation of informing me of the same.

My signature here in below has been attested by the Guardian on record My bankers Notary / JMFC

| | |
|---------------|--|
| Place : | SIGN HERE Signature of Applicant |
| Date : | |

11. Signature Attestation

(To be attested by the Guardian (as registered in the folio of the applicant who has become a major)

or a Notary or Judicial Magistrate First Class (JMFC)@ OR / Banker's Attestation of Signature

| Name of the Guardian / Stamp of the Notary / JMFC | The above signature of the applicant duly attested by me |
|---|--|
| | Signature |

@ Alternatively, please attach banker's certificate / attestation in the prescribed form as per Annexure1

12. Documents enclosed

Copy of PAN Card of applicant

KYC Acknowledgment OR KYC form of applicant

Cancelled cheque with applicant's name pre-printed OR Application's Bank Statement/Passbook

Nomination Form

Annexure 1 - Bankers Attestation of signature of the applicant

Form for Fresh Nomination / Change of Existing Nomination / Cancellation of Nomination



Applicable for Individual Unitholders only - whether holding Units Singly or Jointly with other holders

Please read the instructions carefully before filling up this form

| | | | | |
|--------------------------------|--------|-------|--------|------|
| Name of 1 st Holder | Mr./Ms | FIRST | MIDDLE | LAST |
| Name of 2 nd Holder | Mr./Ms | FIRST | MIDDLE | LAST |
| Name of 3 rd Holder | Mr./Ms | FIRST | MIDDLE | LAST |

I/We, the above named Unitholders of LIC Mutual Fund, do hereby (tick whichever is applicable).

- Nominate the person(s) more particularly described hereunder to receive the Units held my/our Folio/s listed below in the event of my / our death and/or
- Cancel the nomination(s) made by me / us previously in respect of the units held by me/ us in the Folio/s listed below

| Sr.# | Folio No |
|------|----------|
| 1 | |
| 2 | |
| 3 | |
| 4 | |

01 Nominee Details

| | | | | |
|--------------------------------------|--|---|---|---|
| Name of the 1 st Nominee | FIRST | MIDDLE | LAST | % of Allocation |
| PAN of the Nominee/Guardian* | | | | Date of Birth of Nominee* D D M M Y Y Y Y |
| Name of the Guardian * | FIRST | MIDDLE | LAST | |
| Guardian's Relationship with Nominee | <input type="checkbox"/> Mother | <input type="checkbox"/> Father | <input type="checkbox"/> Legal Guardian | |
| Proof of relationship | <input type="checkbox"/> Birth Certificate | <input type="checkbox"/> School Leaving Certificate | <input type="checkbox"/> Passport | <input type="checkbox"/> Others |
| Address: | | | | |
| City: | State: | | PIN | |
| Name of the 2 nd Nominee | FIRST | MIDDLE | LAST | % of Allocation |
| PAN of the Nominee/Guardian* | | | | Date of Birth of Nominee* D D M M Y Y Y Y |
| Name of the Guardian * | FIRST | MIDDLE | LAST | |
| Guardian's Relationship with Nominee | <input type="checkbox"/> Mother | <input type="checkbox"/> Father | <input type="checkbox"/> Legal Guardian | |
| Proof of relationship | <input type="checkbox"/> Birth Certificate | <input type="checkbox"/> School Leaving Certificate | <input type="checkbox"/> Passport | <input type="checkbox"/> Others |
| Address: | | | | |
| City: | State: | | PIN | |
| Name of the 3 rd Nominee | FIRST | MIDDLE | LAST | % of Allocation |
| PAN of the Nominee/Guardian* | | | | Date of Birth of Nominee* D D M M Y Y Y Y |
| Name of the Guardian * | FIRST | MIDDLE | LAST | |
| Guardian's Relationship with Nominee | <input type="checkbox"/> Mother | <input type="checkbox"/> Father | <input type="checkbox"/> Legal Guardian | |
| Proof of relationship | <input type="checkbox"/> Birth Certificate | <input type="checkbox"/> School Leaving Certificate | <input type="checkbox"/> Passport | <input type="checkbox"/> Others |
| Address: | | | | |
| City: | State: | | PIN | |

*applicable in case the Nominee is a Minor. (Also, please attach a copy of the minor's birth certificate)

I DO NOT wish to make a nomination. (Please tick ✓ if the unitholder does not wish to nominate anyone)

FOR NOMINATION : I / We hereby confirm that I / We do not wish to appoint any nominee(s) for my mutual fund units held in my / our mutual fund folio and understand the issues involved in nonappointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents issued by Court or other such competent authority, based on the value of assets held in the mutual fund folio.

02 Declaration and Signature of Unitholders

I/We have read and understood the instructions on nomination given below/overleaf and I/We hereby undertake to abide by the same. The instructions contained herein supercedes all previous nominations made by me/us in respect of the folio(s) mentioned above.

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> SIGN HERE Signature of 1st unitholder | <input checked="" type="checkbox"/> SIGN HERE Signature of 2nd unitholder | <input checked="" type="checkbox"/> SIGN HERE Signature of 3rd unitholder |
|--|--|--|

- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly.
- Non-individuals including a Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu undivided family, a Power of Attorney holder and/or Guardian of Minor unitholder cannot nominate.
- Nomination is not allowed in a folio of a Minor unitholder.
- If the units are held jointly (i.e., in case of multiple unitholders in the folio), all joint holders need to sign the Nomination Form (even if the mode of holding/operation is on “Anyone or Survivor” basis).
- A minor may be nominated. In that event, the name and address of the Guardian of the minor nominee needs to be provided.
- Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a trust (other than a religious or charitable trust), society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder.
- A Non-Resident Indian may be nominated subject to the applicable exchange control regulations.
- Multiple Nominees: Nomination can be made in favour of multiple nominees, subject to a maximum of three nominees. In case of multiple nominees, the percentage of the allocation/share should be in whole numbers without any decimals, adding upto a total of 100%. If the total percentage of allocation amongst multiple nominees does not add up to 100%, the nomination request shall be treated as invalid and rejected. If the percentage of allocation/ share for each of the nominee is not mentioned, the allocation /claim settlement shall be made equally amongst all the nominees.
- In case of nomination is made for LICMF Unit Linked Insurance Scheme only one nomination can be registered.
- Every new nomination for a folio/account shall overwrite the existing nomination, if any.
- Nomination made by a unit holder shall be applicable for units held in all the schemes under the respective folio / account.
- Nomination shall stand rescinded upon the transfer of units.
- Death of Nominee/s: In the event of the nominee(s) pre-deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the nominee. The nomination will automatically stand cancelled in the event of the nominee(s) pre-deceasing the unitholder(s). In case of multiple nominations, if any of the nominee is deceased at the time of death claim settlement, the said nominee's share will be distributed equally amongst the surviving nominees.
- Transmission of units in favour of a Nominee shall be valid discharge by the asset management company/ Mutual Fund / Trustees against the legal heir(s).
- Cancellation of Nomination: Request for cancellation of Nomination made can be made only by the unitholders. The nomination shall stand rescinded on cancellation of the nomination and the AMC shall not be under any obligation to transfer / transmit the units in favour of the Nominee.
- Unitholders who do not wish to nominate are required to confirm the same by indicating their choice in the space provided in the nomination form.
- The nomination will be registered only when this form is completed in all respects to the satisfaction of the AMC.
- In respect of folios/accounts where the Nomination has been registered, the AMC will not entertain any request for transmission / claim settlement from any person other than the registered nominee(s), unless so directed by any competent court.

