

Lien Marking Request from (Investor)

LIC Mutual Fund
C/o KFin Technologies Private Limited
Karvy Selenium, Tower B, Plot number 31 & 32
Financial District Gachibowli,
Hyderabad 500 008 | India

Dear Sir(s),

Subject: Lien of Units in favour of <Lender's Name>

I / We <First unit holder name> , <second unit holder name > and <Third unit holder name > _____, are Unit holder(s) of (Scheme, Plan, Option to be specified > have borrowed monies and/or incurred indebtedness from <"Lender name "> against security by way of lien of the units mentioned below of LIC Mutual Fund.

Folio no	Scheme Name	No. of Units to be pledged

I / We request you to record the pledge on the said above-mentioned units in favour of the <Lender's Name>. I / We request you to consider the lien marking request from the lender, as final confirmation of the terms in the agreement between me and the lender.

I / We confirm that there is no prior pledge/charge/lien upon the Units, which has not been registered with the Fund. I / We also confirm that the lien is on the number of units held and not for any particular amount as the value of units is subject to change depending upon the market conditions.

During the period of the lien/pledge, the lender shall have the right to transfer the units mentioned above to itself or sell or redeem the units mentioned above by written notice to the Fund irrespective of any contrary instructions given by me/us and any such transfer, sale or redemption of the Units by the lender shall be valid in the same manner as if such units were transferred or sold or redeemed by me/us and I/we hereby authorise the same. Such payment to the lender by the Mutual Fund will constitute a valid and effectual discharge against any demand made upon the Mutual Fund.

All payments for dividends paid out from time to time on the abovementioned units, during the term of the lien/pledge, shall be sent to me/us only. Further, all benefits accruing on the said units in the form of dividend reinvestments, bonus or otherwise shall not be subject to lien/pledge.

To redeem or transfer the above-mentioned units in favour of the Lender on receipt of a letter from the Lender stating that I / We have defaulted on our obligations to the Lender.

I / We hereby undertake to indemnify at all times, the LIC Mutual Fund Asset Management Ltd.. and its Registrars & Share Transfer Agents, successors and assigns, from and against all actions, causes of action, suits, proceedings, accounts, claims and demands whatsoever arising out of or on account of or in connection with the said lien/Pledge of the Units and fraudulent redemption of units under lien/pledge whether intentional or accidental.

I / We confirm to have understood that units in a closed ended scheme with fixed maturity cannot be redeemed / switched out with the fund until the maturity of the scheme.

I / We hereby undertake to indemnify at all times, the Fund, LIC Mutual Fund Asset Management Ltd., its Registrars & Share Transfer Agents, successors and assigns, from and against all actions, causes of action, suits, proceedings, accounts, claims and demands whatsoever arising out of or on account of or in connection with the said lien/Pledge of the Units and fraudulent redemption of units under lien/pledge whether intentional or accidental

*Thanking you,
Yours faithfully,*

<1st unit holder>

<2nd unit holder>

<3rd unitholder>

Signature/s and Name/s of Unit Holder/Holders

Place: _____

Date: _____

Covering Letter from Bank / Financial Institution, along with the Lien Request Form from Investor on Bank's Letter Head with seal

LIC Mutual Fund
C/o KFin Technologies Private Limited
Karvy Selenium, Tower B, Plot number 31 & 32
Financial District Gachibowli,
Hyderabad 500 008 | India

Dear Sir,

Subject: Pledge/lien over the units of LIC Mutual Fund

We have entered into an Agreement with our customer _____ ("Investor's name") under which he /she has agreed to provide pledge/lien of units of your Mutual Fund scheme held in the name of the 'Client'; which we have agreed to.

The Client holds the following units of your scheme on which the pledge/lien is to be marked.

Sr. No	Scheme Name	Folio No.	Number of Units

The Client has agreed to place a lien/pledge in our favour on the above mentioned units. (*Delete as appropriate, depending on whether pledge or lien is created)

The authority letter issued to you by the Client for marking the pledge/lien in our favour is enclosed.

Lien to be marked in favour of _____

Address: _____

Contact Person: _____

E-mail id: _____ Contact No: _____

The dividends that may accrue towards the units in the event of dividend payout, are to be remitted to the Client while the units mentioned by the Client continue to be pledged in our favour until further instructions in writing. In case of dividend re-investment, the dividend units re-invested, are not subject to pledge/lien and as such are free from encumbrances and can be redeemed by the Client.

We understand that investments in closed ended schemes with fixed maturity cannot be redeemed/switched-out with LIC Mutual Fund until the maturity of the scheme.

The above pledge/lien shall be valid till a letter discharging the said pledge/lien is issued by us. Please mark the lien in our favour and send the confirmation at the earliest.

Thanking you,

Signature(s)

Enclosed:

1. Lien/pledge marking request by Client
2. Authorised Signatory List